



*Dep. & Ref.*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **KOHINATA, Shigeru, et al.**

Serial No.: **10/519,831**

Filed: **January 10, 2005**

2006 DEC 11 PM 4:07  
ATTN: Refund Section  
Accounting Division  
Office of Finance

Group Art Unit: **2874**

Examiner: **KIM, Ellen E.**

**FOR: THERMOSET OPTICAL-PURPOSE ADHESIVE, AND OPTICAL ISOLATOR  
ELEMENT AND OPTICAL ISOLATOR TO WHICH THIS ADHESIVE HAS BEEN  
APPLIED**

**P.T.O. Confirmation No.: 9778**

**2<sup>nd</sup> REQUEST FOR REFUND**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: December 7, 2006

Sir:

Further to our request of October 7, 2005, this is the second request for a refund with respect to the charge to Deposit Account 01-2340 dated July 2005 (Control No. 1617) for the above-identified patent application. A copy of the monthly statement dated July 2005, in which the error referred to occurs, accompanies this request.

The amount of the refund requested is for the filing fee for filing a PCT Declaration after 30 months from the priority date for a total refund requested of \$130.00.

It is believed by the applicants, that this erroneous charge in the amount of \$130.00 for the filing fee for filing a PCT Declaration after 30 months from the priority date, should not have been charged.

The government filing fee forwarded with the initial new PCT patent application filed on January 10, 2005 (within 30<sup>th</sup> month), was \$1,040.00(calculated as \$300.00 for the basic national fee,



\$500.00 for the search fee; \$200.00 for the examination fee; and \$40.00 for the Assignment filing fee), which was paid at the time with check no. 013112.

The U.S. Patent and Trademark Office PCT Branch inadvertently issued a Notification of Missing Requirements dated May 13, 2005. On July 13, 2005, Miss Mamie Person at the PCT Branch verified that the Notification was mailed to us in error. A withdrawal of Previously sent Notice dated July 25, 2005, was received to void the Notification dated May 13, 2005.

Accordingly, no fee is due.

Please make the refund of \$130.00 by crediting Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP

*James E. Armstrong, IV*  
James E. Armstrong, IV  
Attorney for Applicants  
Reg. No. 42,266

JAM/rk  
Atty. Docket No. 040660  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



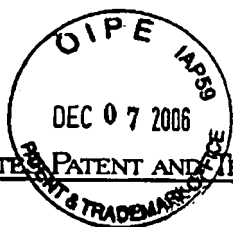
23850

PATENT TRADEMARK OFFICE

Enclosures:

Copies of the Notification of Missing Requirement dated 5/13/05;  
Copies of Withdrawal of Previously sent Notice dated 7/25/05;  
Copies of Transmittal letter to the United States Designated/Elected Office filed on 1/10/05;  
Copies of cancelled check No.013112; and  
Monthly Statement of July 2005.





UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/519,831	FIRST NAMED APPLICANT Shigeru Kohinata	ATTY. DOCKET NO. 040660
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23850  
 ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP  
 1725 K STREET, NW  
 SUITE 1000  
 WASHINGTON, DC 20006

INTERNATIONAL APPLICATION NO. PCT/JP02/12995	
I.A. FILING DATE 12/12/2002	PRIORITY DATE 07/18/2002

CONFIRMATION NO. 9778  
 371 WITHDRAWAL NOTICE



\*OC000000016514456\*

Date Mailed: 07/25/2005

**WITHDRAWAL OF PREVIOUSLY SENT NOTICE**

It has come to the attention of the Office that the Notice mailed on 05/13/2005 was sent in error. Please disregard that Notice. The application is complete and will be processed for examination. The Official Filing Receipt is enclosed. We apologize for any inconvenience this has caused.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY





## UNITED STATES PATENT AND TRADEMARK OFFICE

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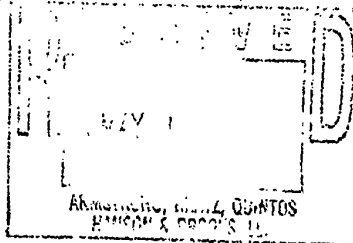
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/519,831	Shigeru Kohinata	040660

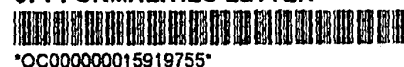
INTERNATIONAL APPLICATION NO.
PCT/JP02/12995

IA. FILING DATE	PRIORITY DATE
12/12/2002	07/18/2002

23850  
ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP  
1725 K STREET, NW  
SUITE 1000  
WASHINGTON, DC 20006



CONFIRMATION NO. 9778  
371 FORMALITIES LETTER



\*OC000000015919755\*

Date Mailed: 05/13/2005

DUE: JULY 13, 2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/10/2005
- Copy of the International Search Report filed on 01/10/2005
- Copy of IPE Report filed on 01/10/2005
- Preliminary Amendments filed on 01/10/2005
- Information Disclosure Statements filed on 01/10/2005
- Oath or Declaration filed on 01/10/2005
- Request for Immediate Examination filed on 01/10/2005
- Copy of references cited in ISR filed on 01/10/2005
- U.S. Basic National Fees filed on 01/10/2005
- Assignment filed on 01/10/2005

PCT-National Phase  
Priority 7-18-62  
30 months  
30 months  
32 months: 3-18-05

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

SEARCHED lu  
A/E 5/17/05



COPY

ARMSTRONG, KRAIZ, QUINTOS  
HANSON & BROOKS, LLP  
1725 K STREET N.W. STE. 1000  
WASHINGTON, DC 20006-1412

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013112

15-3/540

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ORDER OF

JANUARY 7, 2005  
DATE

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AMOUNT

COMMISSIONER OF PATENTS

OUR REF: 040660

*Richard Lee*  
AUTHORIZED SIGNATURE

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- \$130 Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/519,831	PCT/JP02/12995	040660

FORM PCT/DO/EO/905 (371 Formalities Notice)





United States  
Patent and  
Trademark Office

posted 8/12/05

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**Deposit Account Statement**

Requested Statement Month: July 2005  
Deposit Account Number: 012340  
Name: ARMSTRONG KRATZ QUINTOS HANSON & BROOKS LLP  
Attention: CHRISTINE LEE  
Address: 1725 K STREET, NW  
City: WASHINGTON  
State: DC  
Zip: 20006  
Country: UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL	
07/05	8	10523975		9204	-\$100.00	\$29,307.36	050072 (0120-1322) ✓
07/05	18	10524822		9204	-\$50.00	\$29,357.36	3406-131 ✓
07/06	5	10524417		9204	-\$100.00	\$29,457.36	3273-042 RL ✓
07/06	253	78663819	050437	7001	\$325.00	\$29,132.36	0813-177 ✓
07/06	270	78663828	050436	7001	\$325.00	\$28,807.36	0813-176 ✓
07/06	288	78663835	050435	7001	\$325.00	\$28,482.36	0813-175 ✓
07/06	457	10510245	040474	1464	\$130.00	\$28,352.36	3206-091 ✓
07/07	11	10524211		9204	-\$500.00	\$28,852.36	3271-159 ✓
07/07	12	10524211		1642	\$400.00	\$28,452.36	3271-159 ✓
07/07	173	10243949	021166	1463	-\$70.00	\$28,522.36	7913-001 RL ✓
07/07	176	10380764		1253	-\$1,020.00	\$29,542.36	1242-022 RL ✓
07/07	179	10257973	021433	1252	-\$450.00	\$29,992.36	1244-121 ✓
07/08	2	10047992	020052	1806	\$180.00	\$29,812.36	0120-1133 ✓
07/08	1058	78666073	050376	7001	\$325.00	\$29,487.36	0813-172 ✓
07/08	1065	78666075	050434	7001	\$325.00	\$29,162.36	0813-174 ✓
07/08	1066	78666077	050433	7001	\$325.00	\$28,837.36	0813-173 ✓
07/08	1165	78666125	050308	7001	\$325.00	\$28,512.36	1121-121 ✓
07/11	24	10518375		9204	-\$100.00	\$28,612.36	9926-413 ✓
07/11	42	10519747		9204	-\$50.00	\$28,662.36	3406-122 ✓
07/12	31	10519832		9204	-\$100.00	\$28,762.36	3209-051 ✓
07/13	1	10642260	030829	1201	\$400.00	\$28,362.36	1191-091 ✓
07/15	5	10519410		9204	-\$100.00	\$28,462.36	7923-009 ✓
E-F	07/15	211	11111856	050257	\$40.00	\$28,422.36	0021-1924 ✓
E-F	07/15	212	11111995	050260	\$40.00	\$28,382.36	3340-197 ✓
	07/15	1206	78671179	050454	\$325.00	\$28,057.36	3223-002 ✓
	07/18	1	09801897	010280	\$144.00	\$27,913.36	3692-005 ✓
E-F	07/18	68	11091934	050171	\$40.00	\$27,873.36	4000-047 ✓



E-F	07/18 70	11091935	050172	8021	\$40.00	\$27,833.36	4000-046 ✓
E-F	07/19 150	11092974	050212	8021	\$40.00	\$27,793.36	3814-128 ✓
	07/20 1	10516940	040664	1632	-\$100.00	\$27,893.36	0102-757 ✓
	07/20 3	10520272		9204	-\$100.00	\$27,993.36	1244-130 ✓
	07/20 10	10516941	040654	1632	-\$300.00	\$28,293.36	0102-756 ✓
	07/20 12	10474507	031237	1462	\$270.00	\$28,023.36	0102-702 ✓
	07/20 12	10516941	040654	1642	\$200.00	\$27,823.36	0102-756 ✓
→	07/20 557	10519831	040660	1617	\$130.00	\$27,693.36	3273-047 ✓
	07/21 5	10243949	021166	1201	\$400.00	\$27,293.36	7913-001 ✓
XX	07/21 9	09150095		9204	-\$40.00	\$27,333.36	3547-041 WHO
	07/22 6	10516949		9204	-\$50.00	\$27,383.36	3806-095 ✓
E-F	07/22 7	10809864	040151	8021	\$40.00	\$27,343.36	0102-714 ✓ B
E-F	07/22 12	10885043	040324	8021	\$40.00	\$27,303.36	0102-731 B
	07/25 45	78676340	050455	7001	\$325.00	\$26,978.36	3741-040 ✓
E-F	07/25 199	10902077	040369	8021	\$40.00	\$26,938.36	0102-733 B
E-F	07/25 200	10963518	040531	8021	\$40.00	\$26,898.36	0102-745 B
E-F	07/25 201	11081733	050165	8021	\$40.00	\$26,858.36	0102-767 B
E-F	07/25 218	10855318	040258	8021	\$40.00	\$26,818.36	0102-725 B
	07/26 4	11091643	020508A	1081	\$250.00	\$26,568.36	9951-120 ✓
	07/26 20	10519675		9204	-\$100.00	\$26,668.36	0013-664 ✓
	07/26 117	10197565	020808	1252	\$450.00	\$26,218.36	3423-047 B
	07/26 318	78677599	050394	7001	\$325.00	\$25,893.36	3659-006 ✓
	07/26 360	78677630	050394	7001	\$325.00	\$25,568.36	3659-006 ✓
	07/26 382	78677649	050394	7001	\$325.00	\$25,243.36	3659-006 ✓
	07/26 1111	78678118	050394	7001	\$325.00	\$24,918.36	3659-006 ✓
	07/27 9	10440470	030570	1201	\$200.00	\$24,718.36	0001-064 ✓
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	07/27 23	10517605		9204	-\$50.00	\$24,818.36	7913-022 ✓
E-F	07/27 131	11104647	050238	8021	\$40.00	\$24,778.36	0102-772 ✓
	07/28 1	10128571	020563	2202	\$350.00	\$24,428.36	0322-055 ✓
	07/28 2	10128571	020563	2203	\$180.00	\$24,248.36	0322-055 ✓
	07/28 4	90004812		1463	\$80.00	\$24,168.36	0002-736 ✓
	07/28 11	10519673		9204	-\$100.00	\$24,268.36	0013-666 ✓
	07/28 17	10519674		9204	-\$100.00	\$24,368.36	0013-665 ✓
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	07/29 3	10508954		9204	-\$300.00	\$24,628.36	9926-402 ✓
	07/29 3	10519672		9204	-\$100.00	\$24,728.36	0013-667 ✓
	07/29 13	D482307		8021	\$40.00	\$24,688.36	
	07/29 14	D482308		8021	\$40.00	\$24,648.36	
	07/29 15	D481983		8021	\$40.00	\$24,608.36	

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$29,207.36	\$8,629.00	\$4,030.00	\$24,608.36

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